



ORDRE DES COMPTABLES AGRÉÉS DU QUÉBEC
GROUP PROFESSIONAL LIABILITY INSURANCE PLAN
 (Administered by AICA Services Inc. / Les SACA Inc.)



APPLICATION AND PREMIUM SELF ASSESSMENT FORM
FOR THE PERIOD APRIL 1ST, 2010 TO APRIL 1ST, 2011

All members of the *Ordre des comptables agréés du Québec* (the “Ordre”) must participate in the Ordre’s group professional liability insurance plan (the “group insurance plan”) to cover any liability they may incur due to errors or negligence that may occur in the course of the practice of the profession. The Ordre makes the contract available while the insurer issues an insurance certificate to individual insured members.

Section A - IDENTIFICATION

Member Name:	Member Number:
Preferred Mailing Address:	Phone Number:
Mailing Address (line 2):	Fax Number:
City, Province, Country:	Postal Code:
Personal/Residential Address, if different from above:	
City, Province, Country:	Postal Code:
Email Address:	
Name of Firm/Employer, if applicable:	

Please indicate your preference:

Language of correspondence:	English <input type="checkbox"/>	French <input type="checkbox"/>
Method of correspondence:	E-mail <input type="checkbox"/>	Fax <input type="checkbox"/> Mail <input type="checkbox"/>

Section B - PREMIUM SELF ASSESSMENT CATEGORIES

Please select only one category that best describes your situation to determine the amount of your premium:

1. You are a member of the Ordre and the CA Firm or other entity that employs you has requested and been granted by the Ordre an exemption from the group insurance plan as defined in the Regulation Respecting Professional Liability Insurance for Members of the Ordre.	✓
If this describes your situation and you do not fall into any other category below, you have no premiums to pay and you are only required to complete Sections A, B and E of this form.	
2. You are a member of the Ordre and the CA Firm or other entity that employs you has requested and been granted an exemption by the Ordre; in addition , you offer to the public professional services included in the practice of the profession of chartered accountant as defined by Section 1 of the <u>Code of Ethics</u> under a non-exempt firm or your own name. Please provide here the name under which professional services are rendered. Refer to Category 6 and 11 for amount of premium due.	
3. You are a member of the Ordre and you practise your profession as an employee of the Government of Quebec, the Government of Canada, or an organization to which of one of the aforementioned governments, the Parliament of Canada or the Quebec National Assembly appoints the majority of members, or the corporate funds of which fall within the scope of either government.	
4. You are a member of the Ordre and you practise your profession as an employee of a municipality, a mandatory body of a municipality or a supramunicipal body as defined by the <i>Act Respecting the Pension Plan of Elected Municipal Officers</i> (R.S.Q., c. R-9.3).	
5. You are a member of the Ordre and you practise your profession for or on behalf of any employer other than one who provides professional services included in the practice of the profession of chartered accountant as defined by Section 1 of the <u>Code of Ethics</u> .	



- 6. You are a member of the Ordre, including those belonging to category 2, 3, 4, or 5 above, and you offer to the public professional services included in the practice of the profession of chartered accountant as defined by Section 1 of the *Code of Ethics*, the approximate value of which is less than \$5,000, excluding any compensation received as a director or a member of the audit committee of a corporation.
- 7. You are a member of the Ordre and you certify that under no circumstances do you practise the profession of chartered accountant as defined by Section 1 of the *Code of Ethics*, either on an *ex gratia* basis or for gain.
- 8. You are not a member of the Ordre; however, you are responsible for ensuring that prior acts coverage is maintained for or on behalf of a former CA member or his/her estate.

If you belong to any of categories #3 to #8 above, your annual premium is \$50 plus QST.

- 9. You are a Retired Member of the Ordre as defined in the Member Categories for purposes of determining annual membership fees. "A retired member is a member who is [at least] sixty (60) years of age as at March 31, 2010 and whose income from employment, from the practice of the profession or from the operation of an enterprise, within the meaning of the *Civil Code of Quebec*, is less than \$5,000 and who has been a member of the Ordre continuously during the five years preceding the year in which he or she requests to be registered as a retired member."
- Please select only one of the sub-categories below that best describes your situation as a Retired Member. Your annual premium is \$25 plus QST.
- | Your total income is less than \$5,000 and is earned from : | Yes | No |
|---|-----|----|
| (a) employment income outside the practice of the CA profession or from the operation of an enterprise, within the meaning of the <i>Civil Code of Quebec</i> | | |
| (b) the practice of the CA profession | | |
| You do not earn any revenue from sub-categories (a) and (b) | | |

- 10. You are a life member of the Ordre and you certify that you do not earn any revenue from employment, from the practice of the profession or from the operation of an enterprise within the meaning of the *Civil Code of Quebec*. Your annual premium is \$0.
- 11. You are a member of the Ordre, including those belonging to category 2, 3, 4, or 5 above, and you offer to the public professional services included in the practice of the profession of chartered accountant as defined by Section 1 of the *Code of Ethics*, the approximate annual value of which is \$5,000 or more, excluding any compensation received as a director or a member of the audit committee of a corporation.
- 12. You are a member of the Ordre and you practise your profession in circumstances other than those described in any of the preceding categories.

If you belong to category #11 or #12, your annual premium is \$1,500 plus QST.

Please note that if you do not assess yourself properly, the Insurers reserve their right to adjust the premium retroactively, with interest, on the basis of the category that in fact applies to your situation.

Section C - DETAILS OF YOUR PROFESSIONAL LIABILITY INSURANCE PREMIUM

Category:	#11 and #12	#3 to #8	#9	#10
Premium:	\$1,500.00	\$50.00	\$25.00	\$0
QST*:	\$135.00	\$4.50	\$2.25	\$0
Total due:	\$1,635.00	\$54.50	\$27.25	\$0

* 9% QST is applicable even if you reside outside of Quebec due to the fact that the policyholder is the *Ordre des comptables agréés du Québec* and its office is located in Quebec.

Your cheque or money order dated April 1, 2010 must be made payable to AICA Services Inc. and be received **no later than March 15, 2010** at the following address:

AICA Services Inc.
 c/o Hub International Québec Limitée
 110 Crémazie Blvd. West, 8th Floor, Montréal, QC H2P 1B9

Your application form can be mailed to the address above or faxed to (416) 204-3418 or e-mailed to regimecollectif@aica.ca or completed directly on-line at www.aica.ca. Please notify AICA Services Inc. in writing of any changes in your status or contact information taking effect after April 1, 2010.

Payment may also be made by VISA or MasterCard on-line when you submit your self-assessment form at www.aica.ca or by phone at 1-800-267-4734.

Section D - DIRECTORS AND OFFICERS LIABILITY EXTENSION

An extension of coverage is available to include liability where a partner or CA employee acts as a Director or Officer of a non-profit association or corporation without share capital, constituted for some types of charitable, sporting, professional social and like objectives. If required, please indicate:

<u>Organization's Name</u>	<u>Organization's Objective</u>

Section E - OTHER DECLARATIONS CONCERNING PROFESSIONAL LIABILITY INSURANCE

Other Countries

Do you render services outside of Canada? Yes No

If yes, please indicate country and amount of gross revenues _____

Please note that the information requested regarding services performed outside of Canada is being sought for statistical purposes only. Whether coverage is available for those professional activities is governed by the policy language.

Claims Information

At the date of this application does the applicant have any knowledge of any prior incident, act, error or omission, claim made or pending which could be a basis for claim under the group insurance plan?

Yes	<input type="checkbox"/>	a. New incident: please provide details in writing to AICA Services Inc.
	<input type="checkbox"/>	b. Previously reported. Please provide any updates to AICA Services Inc.
No	<input type="checkbox"/>	

Disclosure of Information

In accordance with the Regulation under which the primary insurance for the group plan has been negotiated, AICA Services Inc. will be providing the Ordre with any necessary information requested by the Ordre to administer the group insurance plan.

Declaration and Signature

For all members:

I hereby declare that the above statements and particulars are true and that I have not suppressed or mis-stated any material facts.

For members belonging to Categories 2 to 12:

I agree that this Application shall be the basis of the contract with the Insurers. I understand that any false representation or incomplete statement could have adverse consequences.

Completion of this application form does not bind the Insurers to provide this insurance until full payment is received. The information in this application forms an integral part of your certificate.

Name of Signatory _____ Signature _____

Date MMM DD, YYYY Place _____

Excess Limits and Additional Coverages (Form B)

In addition to the coverage under the group insurance plan, members have access to excess and other coverages through AICA Services Inc. and its broker, Hub International. Excess limits up to \$9,000,000 and additional coverages will be quoted upon:

- a) completion of a further application form (see Form B). Only one partner per firm needs to complete a copy of the Application Form B; and
- b) acceptance by the insurer of the risk presented.

For a list of what is and what is not covered under the group insurance plan, please consult the policy wording on AICA's website www.aica.ca or the Ordre's website www.oqaq.qc.ca.